132913-1

ELECTRO-ACTIVE DEVICE HAVING METAL-CONTAINING LAYER

BACKGROUND OF INVENTION

[0001] The invention relates to an electro-active device, such as a photovoltaic cell or

an organic light emitting device (OLED). More particularly, the invention relates to a

method of depositing a layer of metal at low temperatures to form a metal-containing

layer for such an electro-active device.

[0002] Certain materials and devices display electronic characteristics, features and

uses. Electro-active devices such as photovoltaic cells and organic light emitting

diodes (referred hereinafter to as "OLEDs") are widely used in information displays,

solar cells, fuel cell components, and in specialty electronics. One of the features of

such devices is a metal-containing conductive or catalytic layer that connects an

active light-absorbing or light-emitting material to an electrode, which in turn is

deposited on a substrate.

[0003] One problem associated with the formation of such metal layers is that they

must be deposited using techniques that must be carried out at temperatures at which

substrate materials, such as polymeric materials, decompose. Current methods of

metal deposition on substrates involve processes like physical and chemical vapor

deposition, sputtering, evaporation, and molecular beam epitaxy, among other

techniques. These processes require high-temperatures, typically above 500°C, which

may chemically or electronically degrade the substrate. In addition, such vapor

deposition-based techniques are frequently carried out under reduced pressure

conditions and do not lend themselves to efficient or large-scale manufacture of such

electro-active devices.

[0004] Metal films have also been deposited by solution-based methods on a substrate

at temperatures of about 400°C. However, even this temperature regime is outside the

temperature tolerance for many electronic materials and substrates.

[0005] Current methods for forming the metal-containing layers in such electro-active

devices must be carried out at temperatures at which substrate materials are not stable.

1

"EXPRESS MAIL" MAILING

EV 300766798 US

Therefore, what is needed is to provide an electro-active device having a metal-containing layer that is formed at temperatures below those currently employed in deposition. What is also needed is a method of depositing such a metal-containing layer at temperatures below those currently used. What is further needed is a method of depositing such metal-containing layers, wherein the method does not employ vapor deposition to form the metal-containing layer.

### **BRIEF SUMMARY OF INVENTION**

[0006] The present invention meets these and other needs by providing a metal-containing layer that is deposited on a substrate at temperatures below about 200°C and a method of forming such a metal-containing layer on a substrate. An electroactive device, such as a photovoltaic cell or OLED, having at least such one metal-containing layer, is also provided.

[0007] Accordingly, one aspect of the invention is to provide an electro-active device. The electro-active device comprises: a substrate; a first electrode disposed on a surface of the substrate; a second electrode; at least one electro-active layer disposed between the first electrode and the second electrode, wherein the at least one active layer comprises one of a light absorbing layer and a light emitting layer; and a first metal-containing layer disposed between the electro-active layer and one of the first electrode and the second electrode. The first metal-containing layer comprises at least one metal disposed in a plurality of domains, and at least one of the first electrode and second electrode is a transparent electrode, wherein the first metal-containing layer is adjacent to the transparent layer.

[0008] A second aspect of the invention is to provide a metal-containing layer for an electro-active device, the metal-containing layer comprising at least one metal disposed in a plurality of domains. The plurality of domains form a layer on a surface of a substrate, and are formed by decomposing a organometallic complex on a substrate and decomposing the organometallic complex at a temperature of less than about 200°C.

[0009] A third aspect of the invention is to provide an electro-active device. The electro-active device comprises: a substrate; a first electrode disposed on a surface of the substrate; a second electrode; at least one electro-active layer disposed between the first electrode and the second electrode, wherein the electro-active layer comprises one of a light absorbing layer and a light emitting layer; and a first metal-containing layer disposed between the electro-active layer and one of the first electrode and the second electrode. The first metal-containing layer comprises at least one conductive metal disposed in a plurality of domains. At least one of the first electrode and the second electrode is a transparent electrode, and the first metal-containing layer is transparent to light and adjacent to the transparent layer.

[0010] A fourth aspect of the invention is to provide a method of forming a metal-containing layer on a surface of a substrate. The metal-containing layer comprises at least one metal disposed in a plurality of domains, the method comprises: providing at least one organometallic complex of the at least one metal; applying the at least one organometallic complex to the surface; and decomposing the at least one organometallic complex on the surface at a temperature of less than about 200°C to form the plurality of domains of the at least one metal in elemental form.

[0011] These and other aspects, advantages, and salient features of the present invention will become apparent from the following detailed description, the accompanying drawings, and the appended claims.

#### BRIEF DESCRIPTION OF DRAWINGS

[0012] Referring now to the figures wherein like elements are numbered alike:

FIGURE 1 is a schematic representation of a cross-section of an electro-active device of the present invention;

FIGURE 2 is a schematic representation of a top view of a metal-containing layer of the present invention disposed upon a surface of an electrode;

FIGURE 3 shows the structure of a Karstedt's catalyst;

FIGURE 4 shows the chemical reaction for the deposition of elemental platinum using Karstedt's catalyst;

FIGURE 5 shows the chemical reaction for the deposition of elemental platinum using dimethyl(1,5-cyclooctadiene) platinum (also referred to herein as "CODPtMe<sub>2</sub>" where COD = 1,5-cyclooctadiene);

FIGURE 6 shows structures of platinum (Pt) organometallic complexes that are used to deposit Pt on electrode/substrate combinations;

FIGURE 7 lists experimental conditions that were used to deposit of the platinum (Pt) layers and the contact potential difference values measured for the Pt layers;

FIGURE 8 is a scanning electron micrograph (500,000X magnification) of a platinum layer of the present invention deposited on a tin oxide (SnO) electrode; and

FIGURE 9 is a spectrum, obtained by energy dispersive spectroscopy (EDS), of the image shown in Figure 8.

# **DETAILED DESCRIPTION**

[0013] In the following description, like reference characters designate like or corresponding parts throughout the several views shown in the figures. It is also understood that terms such as "top," "bottom," "outward," "inward," and the like are words of convenience and are not to be construed as limiting terms.

[0014] Referring to the drawings in general and to Figure 1 in particular, it will be understood that the illustrations are for the purpose of describing a particular preferred embodiment of the invention and are not intended to limit the invention thereto. Turning to Figure 1, a schematic representation of a cross-section of an electro-active device 100 of the present invention is shown. Among the electro-active devices that fall within the scope of the present invention are photovoltaic cells (also referred hereinafter as "PV cells") and organic light emitting diodes (also referred hereinafter

as "OLEDs"). However, it will be appreciated by those skilled in the art that other electro-active devices will fall within the scope of the invention.

[0015] Electro-active device 100 comprises a substrate 110, a first electrode 120 disposed on a surface of the substrate, a second electrode 140, and at least one electroactive layer 130 disposed between the first electrode 120 and second electrode 140. Where the electro-active device 100 is a PV cell, the at least one electro-active layer 130 comprises a light absorbing layer. Where the electro-active device 100 is an OLED, the at least one electro-active layer 130 comprises a light emitting layer. The overall structure and materials employed in such PV cells and OLEDs are known in the art. Exemplary electro-active devices of the present invention are described in: United States Patent 6,515,314, entitled "Light Emitting Device with Organic Layer Doped with Photoluminescent Materials," by Anil Raj Duggal et al., issued on February 4, 2003; United States Patent Application 10/425,901, entitled "Light Source with Organic Layer and Photoluminescent Layer," by Anil Raj Duggal et al., filed April 29, 2003; United States Patent Application 10/316,318, entitled "Dye Sensitized Solar Cells Having Foil Electrodes," by James Lawrence Spivack et al., filed on December 12, 2002; and United States Patent Application 10/316,317, entitled "Structured Dye Sensitized Solar Cell," by James Lawrence Spivack et al., filed on December 12, 2002; the contents of which are incorporated herein by reference in their entirety. A first metal-containing layer 125 is disposed between electro-active layer 130 and one of first electrode 120 and second electrode 140. In a PV cell, first metal-containing layer 125 is disposed between second electrode 140 and catalyzes the re-oxidation and recombination of the electrolyte in electro-active layer 130. In an OLED, first metal-containing layer 125 facilitates charge injection by changing the work function of the surface of first electrode 120. Additionally, first metal-containing layer 125 may provide an electrical connection between first electrode 120 and electro-active layer 130. A second metal-containing layer 145 may be optionally disposed between either electro-active layer 130 and one of first electrode 120 and second electrode 140, and may serve the same function as first metal-containing layer 125. While the features of first metal-containing layer 125 are described in detail hereinafter, it is understood that the description applies to second metal-containing layer 145 as well.

[0016] In one embodiment, substrate 110 is a glass substrate. In a second embodiment, substrate 110 is a polymeric substrate. The polymeric substrate comprises at least one of a polycarbonate, a polyolefin, a polyester, a polyimide, a polysulfone, an acrylate, and combinations thereof. A non-limiting example of a polycarbonate that may be used as a substrate is bisphenol A (BPA) polycarbonate. Polyolefins that are suitable for use as substrate 110 include, but are not limited to, polyethylene, polypropylene, and combinations thereof. A non-limiting example of a polyester that may be used as substrate 110 is polyethylence terephthalate, and a non-limiting example of a polyimide that may be used as substrate 110 is polyetherimide.

[0017] First electrode 120 and second electrode 140 each comprise at least one of a metal oxide, a metal, and combinations thereof. In one embodiment the metal oxide is one of indium oxide, tin oxide, indium tin oxide, zinc oxide, indium zinc oxide, gallium indium tin oxide, zinc indium tin oxide, antimony oxide, and combinations thereof. In another embodiment, the metal oxide further comprises a dopant, such as, but not limited to, gallium, zinc, and combinations thereof. In one embodiment, the metal is one of gold, silver, aluminum, and combinations thereof.

[0018] In order to permit light to pass either into (in the case of a PV cell) or out of (in the case of an OLED) electro-active layer 130, at least one of first electrode 120 and second electrode 140 is transparent to light. Such an electrode is also referred to hereinafter as a "transparent electrode." The first metal-containing layer 125 is disposed between the transparent electrode and electro-active layer 140 and is transparent to light as well. First metal-containing layer 125 is also referred to hereinafter as a "transparent metal-containing layer." In one embodiment, both the transparent electrode and transparent metal-containing layer are transparent to at least one of ultraviolet, infrared, near-infrared, and visible light. In another embodiment, the transparent electrode and transparent metal-containing layer are transparent to light having a wavelength in a range from about 300 nm to about 10 microns. Preferably, the transparent layer has a transparency of at least about 80%; that is, at

least about 80% of the light impinging on a surface of the transparent conductive is transmitted through the transparent metal-containing layer.

[0019] First metal-containing layer 125 comprises at least one metal. In one embodiment, the at least one metal comprises at least one transition metal. In one embodiment, the at least one transition metal is one of platinum, palladium, gold, silver, ruthenium, osmium, iridium, rhodium, copper, nickel, aluminum, and combinations thereof. Preferably, the at least one transition metal is one of platinum, gold, and combinations thereof.

[0020] In first metal-containing layer 125, the metal is disposed in a plurality of domains (or regions) 150. It is understood that second metal-containing layer 145 may have the same structure as that described herein for first metal-containing layer In one embodiment, the plurality of domains 150 forms a metal-containing 125. layer that is discontinuous. Figure 2 is a schematic representation of a top view of first metal-containing layer 125 disposed upon a surface of first electrode 120. The plurality of domains 150 is discontinuous, and includes both individual domains 152 that are freestanding and networked domains 154, where a plurality of individual domains overlap each other. The first metal-containing layer 125 formed from the plurality of domains 150 is a discontinuous layer 156, and does not totally cover first electrode 120, as seen in Figure 2. In a PV cell, first metal-containing layer 125 is disposed between second electrode 140 and catalyzes the re-oxidation and recombination of the electrolyte in electro-active layer 130. In an OLED, first metalcontaining layer 125 facilitates charge injection by changing the work function of the surface of first electrode 120. First metal-containing layer 125 amy also serve as a conductive layer. To provide an adequate catalytic (in a PV cell) or charge-injection (in an OLED) capability, discontinuous layer 156, in one embodiment, covers at least one percent of the surface of at least one of first metal-containing layer 125 and second metal-containing layer 135.

[0021] Figure 8 is a scanning electron micrograph image (500,000X magnification) of metal-containing layer 125, comprising elemental platinum, of the present invention deposited on a tin oxide (SnO) electrode, showing individual domains 152 of

platinum metal forming a discontinuous layer on SnO grains that form electrode 120. Portions of substrate 110 are also visible. Figure 9 shows results of energy dispersive spectroscopic analysis of the region shown in Figure 8, and confirms the presence of Pt domains on SnO.

[0022] In one embodiment, first metal-containing layer 125 comprises less than a monolayer of the at least one metal on one of first electrode 120 and second electrode 140. In another embodiment, first metal-containing layer 125 comprises a plurality of domains 150 that form a substantially continuous metal-containing layer. The substantially continuous metal-containing layer, in one embodiment, has a thickness in a range from about 0.5 nm to about 100 nm.

[0023] In order for first metal-containing layer 125 to be transparent to a predetermined wavelength of radiation, the plurality of domains 150 within first metal-containing layer 125 has a mean diameter that is less than the predetermined wavelength of radiation. Thus, in one embodiment, first metal-containing layer 125 will be transparent to ultraviolet radiation if the mean diameter of the plurality of domains comprising first metal-containing layer 125 is less than the wavelength of ultraviolet radiation. In another embodiment, first metal-containing layer 125 will be transparent to visible light if the mean diameter of the plurality of domains comprising first metal-containing layer 125 is less than the wavelength of visible light. In still another embodiment, first metal-containing layer 125 will be transparent to near infrared or infrared radiation if the mean diameter of the plurality of domains comprising first metal-containing layer 125 is less than the wavelength of near infrared or infrared radiation, respectively.

[0024] One effect of first metal-containing layer 125 of the present invention is to change the work function of the surface of the adjacent electrode. In one embodiment, first metal-containing layer 125 produces a change of at least 0.1 eV in the work function of the surface of the adjacent electrode.

[0025] Another aspect of the present invention is to provide a method of forming a metal-containing layer comprising at least one metal, as described herein, on a surface

of a substrate, such as, but not limited to, an electrode of an opto-electronic device. The at least one metal is disposed on a surface of the substrate in a plurality of domains. The method comprises the steps of providing at least one organometallic complex of the at least one metal, applying the at least one organometallic complex to the surface of the substrate, and decomposing the at least one organometallic complex on the surface at a temperature of less than about 200°C to form the plurality of domains of the at least one metal.

[0026] The at least one organometallic complex may be selected from those organometallic compounds that are typically used in the art as precursors in metal organic chemical vapor deposition (MOCVD). In one embodiment, the at least one organometallic complex comprises at least one organometallic complex of a transition metal. In a preferred embodiment, the transition metal is one of platinum, palladium, gold, silver, ruthenium, osmium, iridium, rhodium, copper, nickel, aluminum, and combinations thereof. In a more preferred embodiment, the transition metal is one of platinum, gold, and combinations thereof. Non-limiting examples of organometallic complexes that may be used include (bis(divinyltetramethyldisiloxy)platinum1,5cyclooctadiene (also referred to hereinafter as "Karstedt's catalyst"), dimethyl(1,5cyclooctadiene) platinum (also referred to herein as "CODPtMe<sub>2</sub>"), iodotrimethylplatinum, platinum acetylacetonate, platinum hexafluoroacetylacetonate, (trimethyl)methylcyclopentadienyl platinum (also referred to herein as "MeCpPtMe3"), (trimethyl)cyclopentadienyl platinum, silveracetylacetonate, dimethyl(acetylacetonate)gold, bis(1,5-cyclooctadiene)nickel, bis(cyclopentadienyl)nickel, palladium acetylacetonate, tris(dibenzylideneacetone)dipalladium, and the like.

[0027] A solution of the at least one organometallic complex is then formed by dissolving the at least one organometallic compound in a solvent. In one embodiment, the solvent is an organic solvent, such as, but not limited to, xylene, toluene, benzene, tetrahydrofuran, methylene dichloride, an alkane, combinations thereof, and the like. Alternatively, the solution is substantially free of aromatic solvent and comprises the at least one organometallic complex and a mixture of silicon-vinyl-containing siloxane oligomers.

•

[0028] The solution comprises at least about 0.1 weight percent of the at least one metal. In one embodiment, the solution comprises from about 0.1 weight percent to about 15 weight percent of the at least one metal. Alternatively, the solution may be a saturated solution of the at least one metal.

[0029] In one particular embodiment, a solution comprises a solvent and a Karstedt's catalyst or any low valent solutions of the at least one metal containing vinyl-siloxane ligands, described in "Mechanism of Formation of Platinum(0) Complexes Containing Silicon-Vinyl Ligand," by L.N. Lewis, R.E. Colborn, H Grade, G.L. Bryant, C.A. Sumpter, R.A. Scott, Organometallics, 14 (1995) 2202, the contents of which are incorporated herein by reference in their entirety. The structure of a Karstedt's catalyst is shown in Figure 3.

[0030] For example, a platinum solution with from about 0.1 to about 50 mole excess (based on Pt) of any Si-H containing monomer or polymer such as (EtO)<sub>3</sub>SiH, Et<sub>3</sub>SiH or Si-H on chain polymethylsiloxane polymers may be prepared, as described in United States Patent 4,681,963, entitled "Hydrosilylation Catalyst, Method for Making and Use," by L.N. Lewis, issued on July 21, 1987; and United States Patent 4,705,765, entitled "Hydrosilylation Catalyst, Method for Making and Use," by L.N. Lewis, issued on November 10, 1987, the contents of which are incorporated herein by reference in their entirety.

[0031] The solution comprising the at least one organometallic complex is then applied to the surface of the substrate. Where the metal-containing layer is to be part of an opto-electronic device, such as that shown in Figure 1, the substrate comprises an electrode. The electrode may be at least one of first electrode 120 and second electrode 140, as shown in Figure 1. In this instance, the electrode itself may be disposed on another substrate. In Figure 1, for example, first electrode 120 is disposed on substrate 110. The solution is applied to the substrate using solution application techniques that are known in the art. Such techniques include, but are not limited to, spin coating, printing, spray coating, dip coating, roller coating, blade coating, combinations thereof, and the like.

[0032] Once applied to the surface of the substrate, the at least one organometallic complex is decomposed at a temperature of less than about 200°C to form a freestanding metal-containing layer comprising the at least one metal in elemental (also known as a "zero valent metal") form. Decomposition may take place in either a vacuum or in a stream of gas, such as, but not limited to, an inert gas. instances, the solvent is highly volatile and readily vaporizes to leave behind a film of the at least one organometallic complex. In one embodiment, decomposition is achieved by heating the solution applied to the surface of the substrate using heating means that are known in the art such as, but not limited to, furnaces, heat lamps, and The temperature to which the solution or organometallic forced hot-air heating. complex is heated depends upon the thermal stability of the organometallic complex. In one embodiment, the temperature is in a range from about 20°C to about 200°C. In a second embodiment, the temperature is in a range from about 100°C to about 200°C. In a third embodiment, the temperature is in a range from about 120°C to about 180°C.

[0033] In another embodiment, the at least one organometallic complex is decomposed by irradiating the film or solution on the surface of the substrate. The film or solution may be irradiated by 'actinic' radiation: radiation that is sufficiently energetic to break the bonds within the at least one organometallic complex and produce the zero valent metal. In one embodiment, decomposition occurs by irradiating the at least one organometallic complex with ultraviolet radiation. In another embodiment, the organometallic complex is irradiated with an electron beam to decompose the organometallic complex.

[0034] By decomposing the organometallic complex at less than about 200°C, damage to substrates, such as polymeric substrates and the like, that have low melting or glass transition temperatures is avoided. Moreover, the solution-based process lends itself to high-throughput manufacturing techniques, such as roll-to-roll processing.

[0035] The following example is included to illustrate the various features and advantages of the present invention, and is not intended to limit the invention in any way.

## Example 1

[0036] The initial experiments for low temperature deposition of elemental platinum (Pt(0)) used Karstedt's catalyst solutions. The deposition of elemental platinum using Karstedt's catalyst proceeds according to the reaction shown in Figure 4. The decomposition temperature was greater than 100°C, and in most instances was about 150°C. Xylene solutions of Karstedt's catalyst were spin coated onto a substrate and then heated to about 150°C. It was later found that better adhesion was obtained when HMDZ (hexamethyldisilazane) was used as a diluent. Cyclic voltammetry (CV) analyses of the Karstedt's catalyst-derived films were consistent with the presence of elemental Pt(0) on the surface of the substrate.

[0037] In some instances, films made using Karstedt's catalyst, produced films that were clear but brown in color. Other Pt precursors having volatile ligands were used to obtain 'clean' – i.e., substantially residue-free – decomposition to Pt. The precursor dimethyl(1,5-cyclooctadiene) platinum (also referred to herein as "CODPtMe2" where COD = 1,5-cyclooctadiene), for example, decomposes via reductive elimination of ethane and loss of the COD ligand. In addition, CODPtMe2, which is soluble in octane, a solvent compatible with most plastics that are candidate substrate materials. The deposition of elemental platinum using CODPtMe2 proceeds according to the reaction shown in Figure 5. The films obtained using the CODPtMe2 precursor were colorless. Cyclic voltammetry analyses of Pt films derived from CODPtMe2 were nearly identical in appearance to those obtained for Pt films that were formed using high temperature deposition processes.

### Example 2

[0038] The possible performance of a Pt-containing layer of the present invention in an electro-active device such as a photovoltaic (PV) cell may be correlated with Kelvin probe measurements. The Kelvin probe gives a CPD (contact potential

difference) value, which is directly related to the work function of a sample. Thus, a change in CPD value between samples is directly related to a corresponding change in work function; if a standard is known, then the absolute work function of an unknown sample can be determined.

[0039] Platinum-containing layers of the present invention were deposited on two combinations of electrode materials and substrates: tin oxide (SnO) deposited on glass; and indium tin oxide (ITO) deposited on Lexan® (polycarbonate). The CPD values obtained for SnO deposited on glass and ITO on Lexan® were -0.385 V and -0.503 V, respectively.

[0040] The structures of the Pt organometallic complexes that were used to deposit Pt on the electrode/substrate combinations are listed in Figure 6. Experimental conditions that were used to deposit of the Pt-containing layers, including the Pt organometallic precursor, deposition conditions, decomposition temperatures, and substrates, are listed in Figure 7. In some instances, the organometallic complex was decomposed by irradiating the organometallic complex with ultraviolet (UV) light in the presence of ozone at room temperature. Contact potential difference values obtained for the samples, as well as CPD values that were obtained for Pt foil, Pt deposited by chemical vapor deposition (CVD), and Pt deposited at high temperature (400°C), are also listed in Figure 7. The platinum coating deposited in sample 110-4 was too thick to obtain a CPD value.

[0041] The CPD value of high temperature-derived Pt on SnO (taken from an actual photovoltaic cell) had a 0.15 V more negative CPD value than either Pt foil or Pt deposited on glass by CVD. The CPD values measured for Pt-containing layers derived from the Karstedt-catalyst are about 0.4 V more negative than the platinum layer deposited at high temperature, whereas the CPM-derived Pt exhibited CPD values that were equivalent to that of the platinum layer deposited at high temperature.

[0042] All of the organometallic complexes decomposed to either photochemically or thermally to yield elemental platinum (Pt(0)). CPD values of less than about -0.6 V

7\_

will lead to good PV cell performance. In most instances, acceptable performance can be obtained by decomposing the organometallic precursors to provide a platinum layer at 150°C instead of 100°C. However, some precursors, such as Ptacac and CPM, when decomposed at 100°C, provide a platinum layer having adequate performance. In addition, CPM, when decomposed in the UV/O<sub>3</sub> chamber at room temperature, provided a platinum layer having adequate performance.

[0043] While typical embodiments have been set forth for the purpose of illustration, the foregoing description should not be deemed to be a limitation on the scope of the invention. Accordingly, various modifications, adaptations, and alternatives may occur to one skilled in the art without departing from the spirit and scope of the present invention.